

10/559809

IAP8 Rec'd PCT/PTO 08 DEC 2005
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q91566

Makoto SAITO , et al.

Appln. No.: Based on PCT/JP2004/007210

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: December 08, 2005

Examiner: Unknown

For: PROCESSES FOR PREPARING β -DIKETONE COMPOUND, METAL COMPLEX
THEREOF AND METALLIC COMPOUND

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

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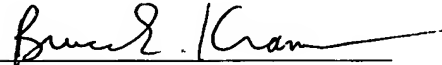
filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office. Additionally, English language abstracts, submitted herewith, constitute concise statements of relevance for EP 0697390, EP 0454623, and EP 0454624.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,


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Date: December 8, 2005

Substitute for Form 1449 A & B/PTO <div style="text-align: center;"> <u>INFORMATION DISCLOSURE</u> <u>STATEMENT BY APPLICANT</u> </div> <p style="text-align: center;"><i>(use as many sheets as necessary)</i></p>				<div style="text-align: right;"><i>Complete if Known</i></div> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">Application Number</td> <td>Based on PCT/JP2004/007210</td> </tr> <tr> <td>Confirmation Number</td> <td>Unknown</td> </tr> <tr> <td>Filing Date</td> <td>December 2, 2005</td> </tr> <tr> <td>First Named Inventor</td> <td>Makoto SAITO</td> </tr> <tr> <td>Art Unit</td> <td>Unknown</td> </tr> <tr> <td>Examiner Name</td> <td>Unknown</td> </tr> <tr> <td>Attorney Docket Number</td> <td>Q91566</td> </tr> </table>		Application Number	Based on PCT/JP2004/007210	Confirmation Number	Unknown	Filing Date	December 2, 2005	First Named Inventor	Makoto SAITO	Art Unit	Unknown	Examiner Name	Unknown	Attorney Docket Number	Q91566
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² <i>(if known)</i>		
		US 5,444,030	A	08-22-1995	BOETTCHER AXEL, ET AL.
		US 4,804,681	A	02-14-1989	KELLER HEIMO, ET AL.
		US			
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		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ <i>(if known)</i>			
		EP	0697390	A1	02-21-1996	BASF AKTIENGESSELLSCHAFT	Abstract
		EP	0454623	A1	10-30-1991	CIBA-GEIGY AG	Abstract
		EP	0454624	A1	10-30-1991	CIBA-GEIGY AG	Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		Roy G. GORDON, et al., "NEW LIQUID PRECURSORS FOR CHEMICAL VAPOR DEPOSITION", Materials Research Society Proceedings, Materials Research Society, Pittsburg, PA, US, Vol. 495, 1998, pp. 63-68, XP001012583, ISSN: 0272-9172	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.